

Docket No.: 4956P003

7 BA 12/13/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MARK S. KNIGHTON, ET AL.

Application No.: 09/660,811

Filed: September 13, 2000

For: DIGITAL IMAGING SYSTEM HAVING

DISTRIBUTION CONTROLLED OVER

A DISTRIBUTED NETWORK

Art Group: 2623

Examiner: Not Assigned

RECEIVED

DEC 1 1 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: December 03, 2002

Thomas M. Coester, Reg. No. 39,637

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

December 03, 2002

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Susan M. Ocegueda

Date

2623

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application No.	09/66	09/660,811		
			Filing Date	Septe	September 13, 2000 Mark S. Knighton		1
			First Named Inventor	Mark			
			Group Art Unit	2623		RECEIV	ED
			Examiner Name	Not A	Assigned	DEC 1 1 20	n ₂
Total Number of Pages in This Submission 7			Attorney Docket Number	er 4956	P003		
	ENCLO	ck all that apply)		5	echnology Con	er 260	
Fee Transmittal	Form	Assignmen (for an App	it Papers dication)		After Allowa to Group	ance Communication	
Fee Attac	thed	Drawing(s))		Appeal Con of Appeals a	nmunication to Board and Interferences	
Amendment / Re	esponse	Licensing-r	related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)		Petition			Proprietary Information		
Extension of Time Request		Petition to Convert a Provisional Application			Status Lette		
Express Abandonment Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclo (please ider		
Information Disclosure Statement		Terminal Disclaimer			Cited Refe		
Certified Copy of Priority Document(s)		Request for Refund			(1 Ref./ 5	pages)	
Response to Missing Parts/ Incomplete Application		CD, Number of CD(s)					
Response Parts und 1.52 or 1.	e to Missing der 37 CFR 53	Remarks					
	SIGNATUR	E OF APPLICAI	NT, ATTORNEY, OR	AGENT			
Firm or	Thomas M. Co	ester, Reg. N	o. 39,637				
Individual name	BLAKELY, S	OKOLOFF,	TAYLOR & ZA	FMAN I	LLP		
Signature	Signature Thomas Coeste						
Date	December 3, 2	002					
	CERTIF	CATE OF MAIL	ING/TRANSMISSION				Ì
I hereby certify that this postage in an envelope	s correspondence is beir e addressed to: Assistant	ng deposited with the Commissioner for	he United States Postal S Patents, Washington, D.C	ervice as F C. 20231 on) :	ail with sufficient	
Typed or printed na	ame Susan M. O					-,	1
Signature	li	Date	Dec	ember 3, 2002	J		

12/03/02

Date

PTC/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0551-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL	Complete if Known				
	Application Number	09/660,811 September 13, 2000 Mark S. Knighton			
for FY 2003	Filing Date				
Patent fees are subject to annual revision.	First Named Inventor				
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Not Assigned			
	Group/Art Unit	2623			
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	4956P003			

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)					
☐ Check ☐ Cred	it card Money Other	None 3.	3. ADDITIONAL FEES					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ N Deposit Account			Large Entity	arge Entity Small E		RECEIVE	:D	
_			Fee Fee code (\$)	Fee Code	Fee (\$)	- 		
Deposit Account Number	02-2666		***		• •	FeeDescripton DEC 1 1 2002	yreerao	
Deposit			051 130 052 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisionanting fee or	. 0000	
	y, Sokoloff, Taylor & Zafman LL	.Р				Surcharge - late provisional line cover sheet.	2000	
The Commissioner is authorized to: (check all that apply)		<u> </u>	1053 130 1812 2,520	2053 1812	130 2,520	Non-English specification For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments			804 920 *	1804	920 *	* Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.			4 040 *		4.040.			
	ed below, except for the filing fee	1	806 1,840 *	1805	1,840	Requesting publication of SIR after Examiner action	i	
to the above-identified	deposit account	1	251 110	2251	55	Extension for reply within first month		
	FEE CALCULATION	1	252 400	2252	200	Extension for reply within second month		
1. BASIC FIL	ING FEE	1	253 920	2253	460	Extension for reply within third month		
	# Entity		254 1,440	2254	720	Extension for reply within fourth month		
Fee Fee Fee Code (\$) Code	Fee Fee Description Fee (\$)	ePaid 1	256 1,960	2255	980	Extension for reply within fifth month		
1001 740 2001	370 Utility filing fee	— ¹	404 320	2401	160	Notice of Appeal		
1002 330 2002	165 Design filing fee	1	402 320	2402	160	Filing a brief in support of an appeal		
1003 510 2003	255 Plant filing fee	1	403 280	2403	140	Request for oral hearing		
1004 740 2004	370 Reissue filing fee		451 1,510	2451	1,510	Petition to institute a public use proceeding		
1005 160 2005	80 Provisional filing fee	1	452 110	2452	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$)		== ₁	453 1,280	2453	640	Petition to revive - unintentional		
SUBTOTAL (1) (\$)		1	501 1,280	2501	640	Utility issue fee (or reissue)		
2. EXTRA CL	AIM FEES Extra Fee from		502 460	2502	230	Design issue fee		
Total Claims	Claims below Fe	ePaid 1	503 620	2503	310	Plant issue fee		
	- 20 = X	1	460 130	2460	130	Petitions to the Commissioner	[:	
Independent Claims	」 3 ⁻ [X	1	807 50	1807	50	Processing fee under 37 CFR 1.17(q)		
Multiple Dependent	· []=	1	806 180	1806	180	Submission of Information Disclosure Stmt		
	Entity	8	0021 40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee Code (\$) Code	Fee <u>Fee Description</u> (\$)		809 740	1809	370	Filing a submission after final rejection		
1202 18 2202	9 Claims in excess of 20			1005	5.0	(37 ČFR § 1.129(a))		
1201 84 2201	42 Independent claims in excess of 3	1	810 740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))		
1203 280 2203	140 Multiple Dependent claim, if not paid		801 740	2801	370	Request for Continued Examination (RCE)		
1204 84 2204	42 **Reissue independent claims over original patent		802 900	1802	900	Request for expedited examination		
1205 18 2205 9 **Reissue claims in excess of 20 and over		1	her fee (specify)	1002	3	of a design application		
original patent			(upoony)				<u> </u>	
SUBTOTAL (2)								
**or number previously paid, if greater, For Reissues, see below			educed by Basic F	ung ree P	-a10	SUBTOTAL (3) (\$)		
SUBMITTED BY					Complete (if applicable	2		
Name (Print/Type)	Thomas M. Coester		Registratio					
. tarrio (Fillio Type)	i nomas ivi. Coester		(Attorney/Age	nt)	1 3	39,637 Telephone (310) 207-	JOUU	

Signature